FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
APPLICANT: Laurent Bellon et al.	
FILING DATE:	GROUP:

XAMINER	1					SUB	FILING
INITIAL	<u> </u>	DOCUMENT NUMBER	DATE	NAME	. CLASS	CLASS	DATE
JP	AA	5,625,047	04/29/97	Been et al.			
	AB	4,987,071	01/22/91	Cech et al.			
	AC	5,631,359	05/20/97	Chowrira et al.			
	AD	5,334,711	08/02/94	Sproat et al.			

FOREIGN PATENT DOCUMENTS								
				·			TRANS	LATION
EXAMINER INITIAL		DOCUMENT NUMBER	DATĖ	COUNTRY	CLASS	SUB CLASS	YES	NO
JР	AE	96/19577	06/27/96	WO/PCT (Collins et al.)		1		
	AF	92/07065	9/28/91	WO/PCT (Eckstein et al.)				
	AG	0 360 257	03/28/90	EPO (Hampel)				
	AH	91/03162	03/21/91	WO/PCT (Rossi et al.)		1.1		
	AI	95/23225	08/31/95	WO/PCT (Stinchcomb et al.)				
	ΑĴ	93/15187	08/05/93	WO/PCT (Usman et al.)				

	-	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
JP	AK	Beigelman et al., "Chemical Modification of Hammerhead Ribozymes," J. Biol. Chem. 270:25702-25708 (1995)
	AL	Burgin et al., "Chemically Modified Hammerhead Ribozymes with Improved Catalytic Rates," Biochemistry 35:14090-14097 (1996) (volume no mistakenly listed as 6)
	AM	Burke et al., "Structural Analysis and Modifications of the Hairpin Ribozyme," Nucleic Acids and Molecular Biology, edited by Eckstein and Lilley, Springer-Verlag Berlin Heidelberg, 10:129-143 (1996)
	AN	Cech et al., "Representation of the secondary and tertiary structure of group I introns," nature structural biology 1:273-280 (1994)
	AO	Cech, "Ribozymes and Their Medical Implications," JAMA 260:3030-3034 (1988)
	AP	Christoffersen and Marr, "Riobozymes as Human Therapeutic Agents," J. Med. Chem. 38:2023-2037 (1995)
	AQ	Collins and Olive, "Reaction Conditions and Kinetics of Self-Cleavage of a Ribozyme Derived From Neurospora VS RNA," Biochemistry 32:2795-2799 (1993)
	ÁR	Forster and Altman, "External Guide Sequences for an RNA Enzyme," Science 249:783-786 (1990)
	AS	Gusparutto et al., "Chemical synthesis of a biologically active natural tRNA with its minor bases," Nucleic Acids Research 20(19):5159-5166 (1992)
V	AΤ	Guerrier-Takada et al., "The RNA Moiety of Ribonuclease P Is the Catalytic Subunit of the Enzyme," Cell 35:849-857 (1983)

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EXAMINER: Initial citation if not in co	if reference is considered, whether or not citation informance and not considered. Include a copy of	n is in conformance with MPEP 609; D f this form with next communication to	raw line through o applicant.

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_	ATTY. DOCKET NO.	SERIAL NO.	
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Г			OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	JP	AU	Guo and Gollins, "Efficent trans-cleavage of a stem-loop RNA substrate by a ribozyme derived from Neurospora VS RNA." EMBO J. 14:368-376 (1995)
		ΑV	Hampel and Tritz, "RNA Catalytic Properties of the Minimum (-)sTRSV Sequence," Biochemistry 28:4929-4933 (1989)
		AW	Hampel et al., "Hairpin' Catalytic RNA Model: Evidence for Helices and Sequence Requirement for Substrate RNA," Nucleic Acids Research 18:299-304 (1990)
		AX	Haseloff and Gerlach, "Simple RNA Enzymes with New and Highly Specific Endoribonuclease Activities," Nature 334:585-591 (1988)
		AY	Hogrefe et al., "Effect of excess water on the desilylation of oligoribonucleotides using tetrabutylammonium fluoride," Nucleic Acids Research 21:4739-4741 (1993)
		ΑZ	Jeffries and Symons, "A Catalytic 13-mer Ribozyme," <u>Nucleic Acids Research</u> 17:1371-1377 (1989)
П		BA	Kim and Cech, "Three-dimensional model of the active site of the self-splicing rRNA precursor of Tetrahymena," Proc. Natl. Acad. Sci. USA 84:8788-8792 (1987)
П		BB	Limbach et al., "Summary: the modified nucleosides of RNA," Nucleic Acids Research 22(12):2183-2196 (1994)
		BC	Pace and Smith, "Ribonuclease P: Function and Variation," J. Biol. Chem. 265:3587-3590 (1990)
		BD	Perreault et al., "Mixed Deoxyribo- and Ribo-Oligonucleotides with Catalytic Activity," Nature 344:565-567 (1990)
		BE	Perreault et al., "Relationship between 2'-Hydroxyls and Magensium Binding in the Hammerhead RNA Domain: A Model for Ribozyme Catalysis," <u>Biochemistry</u> 30:4020-4025 (1991)
		BF	Perrotta and Been, "Cleavage of Oligoribonucleotides by a Ribozyme Derived from the Hepatitis δ Virus RNA Sequence," <u>Biochemistry</u> 31:16-21 (1992)
		BG	Pieken et al., "Kinetic Characterization of Ribonuclease-Resistant 2'-Modified Hammerhead Ribozymes," Science 253:314-317 (1991)
		вн	Pyle et al., "Building a Kinetic Framework for Group II Intron Ribozyme Activity: Quantitation of Interdomain Binding and Reaction Rate," <u>Biochemistry</u> 33:2716-2725 (1994)
		BI	Rossi et al., "Ribozymes as Anti-HIV-1 Therapeutic Agents: Principles, Applications, and Problems," Aids Research and Human Retroviruses 8:183-189 (1992)
		BJ	Saville and Collins, "A Site-Specific Self-Cleavage Reaction Performed by a Novel RNA In Neurospora Mitochondria," Cell 61:685-696 (1990)
		BK	Saville and Collins, "RNA-Mediated Ligation of Self-Cleavage Products of a Neurospora Mitochondrial Plasmid Transcript," Proc. Natl. Acad. Sci. USA 88:8826-8830 (1991)
		BL	Scaringe et al., "Chemical synthesis of biologically active oligoribonucleotides using cyanoethyl protected ribonucleoside phosphoramidites," Nucl Acids Res. 18:5433-5441 (1990)
		ВМ	Slim and Gait, "Configurationally Defined Phosphorothioate-Containing Oligoribonucleotides in the Study of the Mechanism of Cleavage of Hammerhead Ribozymes," <u>Nucleic Acids Research</u> 19:1183-1188 (1991)
		BN	Uhlenbeck, "A Small Catalytic Oligoribonucleotide," Nature 328:596-600 (1987)
		во	Usman and Cedergren, "Exploiting the chemical synthesis of RNA," TIBS 17:334-339 (1992)
·		ВР	Usman and McSwiggen, "Ch. 30 - Catalytic RNA (Ribozymes) as Drugs," Annual Reports in Medicinal Chemistry 30:285-294 (1995)
			Usman et al., "Automated Chemical Synthesis of Long Oligoribonucleotides Using 2'-O-Silylated
	•	BQ	Ribonucleoside 3'-O-Phosphoramidites on a Controlled-Pore Glass Support: Synthesis of a 43-
١, ١	ا , ا	_	Nucleotide Sequence Similar to the 3'-Half Molecule of an Escherichia coli Formylmethoionine IRNA," J. Am. Chem. Soc. 109:7845-7854 (1987)
$\vdash \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \!$	u	BR	Usman et al., "Chemical modification of hammerhead ribozymes: activity and nuclease

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resistance," Nucleic Acids Syposium Series 31:163-164 (1994)

Information Disclosure Statement - Section 9 PTO-1449

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ſ			OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
BS Usman et al., "Hamerhead ribozyme engineering," Current Opinion in Structura 533(1996)		Usman et al., "Hamerhead ribozyme engineering," <u>Current Opinion in Structural Biology</u> 1:527-533(1996)	
	<u> </u>	вт	Vinayak et al., "Advances in the chemical synthesis and purification of RNA," <u>Nucleic Acids</u> Symposium Series 33:123-125 (1995)
Ì		BU	Wincott et al., "Synthesis, deprotection, analysis and purification of RNA and ribozymes," <u>Nucleic Acids Research</u> 23:2677-2684 (1995)
İ	V	BV	Zaug et al., "The Tetrahymena Ribozyme Acts Like an RNA Restriction Endonuclease," Nature 324:429-433 (1986)